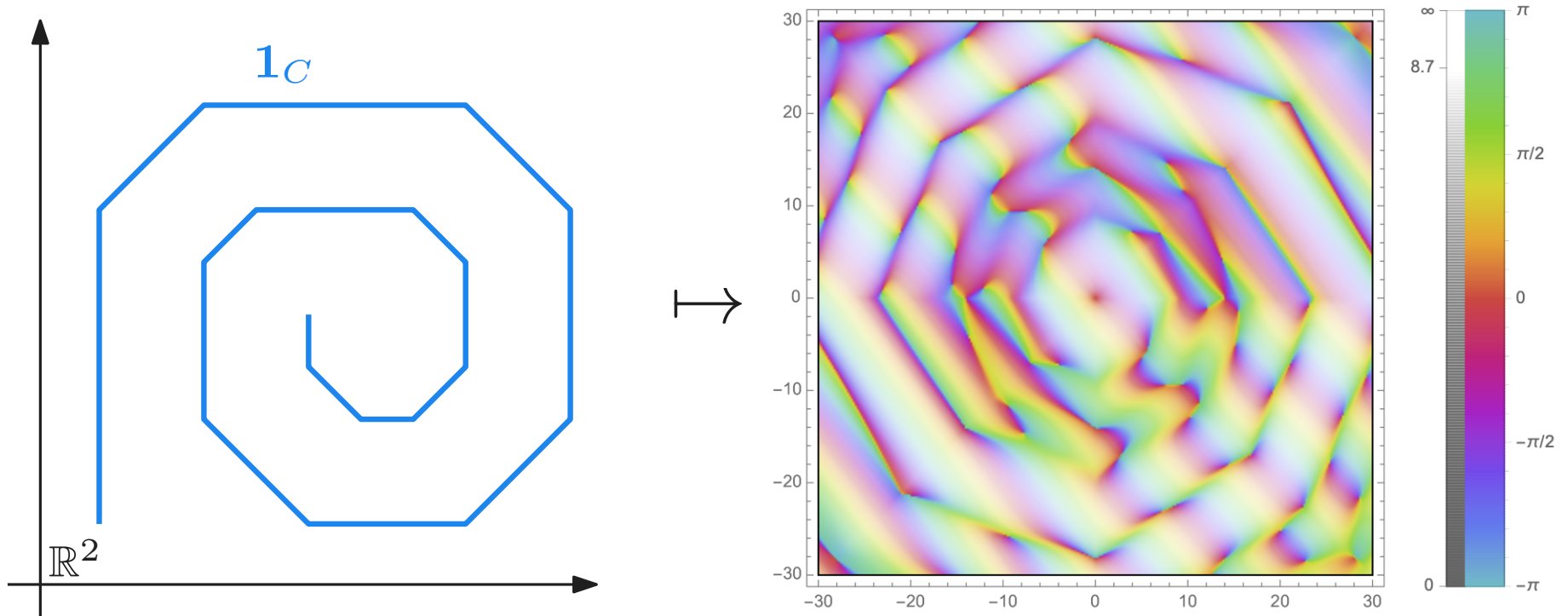


# Hybrid transforms of constructible functions

Vadim Lebovici

arXiv:2111.07829



# Overview

Integral transforms

$f : \mathbb{R}^n \rightarrow \mathbb{C}$  integrable  $\xrightarrow{\text{Fourier}}$   $\mathcal{F}[f] : \mathbb{R}^n \rightarrow \mathbb{C}$   
records spectral info.

$\varphi : \mathbb{R}^n \rightarrow \mathbb{Z}$  constructible  $\xrightarrow{\text{Hybrid Fourier}}$   $\mathcal{EF}[\varphi] : \mathbb{R}^n \rightarrow \mathbb{C}$   
records topological info.



# Overview

## Integral transforms

$$f : \mathbb{R}^n \rightarrow \mathbb{C} \text{ integrable} \xrightarrow{\text{Fourier}} \underbrace{\mathcal{F}[f] : \mathbb{R}^n \rightarrow \mathbb{C}}_{\text{records spectral info.}}$$

$$\varphi : \mathbb{R}^n \rightarrow \mathbb{Z} \text{ constructible} \xrightarrow{\text{Hybrid Fourier}} \underbrace{\mathcal{EF}[\varphi] : \mathbb{R}^n \rightarrow \mathbb{C}}_{\text{records topological info.}}$$

## Outcomes of hybrid transforms

- ▶ Informative
- ▶ Adapted to statistical tools
- ▶ Efficiently computable
- ▶ Well-behaved (invariance, regularity, mean formulae)
- ▶ Generalize existing invariants (e.g. Persistent magnitude [8], Euler characteristic of barcodes [9])



[8] Govc, Hepworth (2021) *Persistent magnitude*. Journal of Pure and Applied Algebra, 225(3), 106517.

[9] Bobrowski, Borman (2012) *Euler integration of Gaussian random fields and persistent homology*. Journal of Topology and Analysis, 4(01), 49-70.

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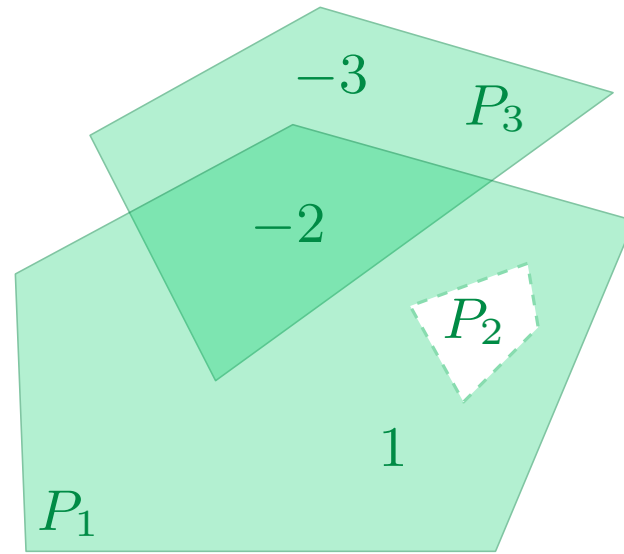
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**Def.**

$$\varphi = \sum_{i=1}^k m_i \mathbf{1}_{K_i}$$

- where
- ▶  $m_i \in \mathbb{Z}$
  - ▶  $K_i$  compact subanalytic in  $\mathbb{R}^n$  (e.g. polytope)

**Ex.**



$$\varphi = \mathbf{1}_{P_1} - \mathbf{1}_{P_2} - 3 \cdot \mathbf{1}_{P_3} \in \text{CF}_{\text{PL}}(\mathbb{R}^2)$$



**Not.**  $\varphi \in \text{CF}(\mathbb{R}^n)$

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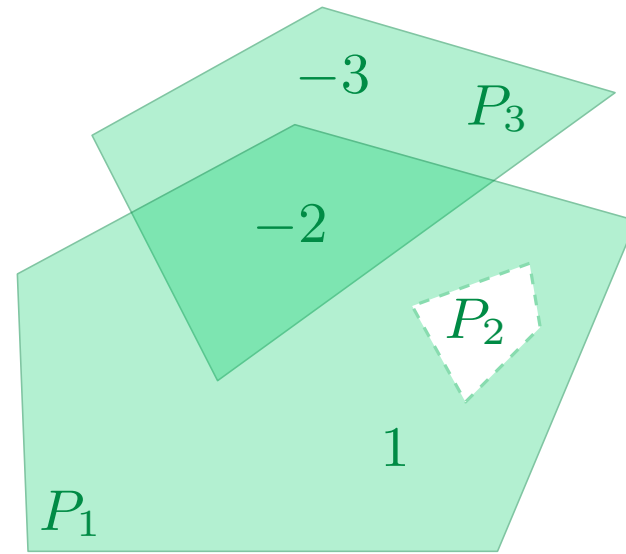
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# Why constructible functions?



# Why constructible functions ?

## 1. Theoretically rich [5]

$$\begin{array}{ccccc} \text{operations} \curvearrowright & \text{CF}(\mathbb{R}^n) & \simeq & \text{Group of} & & \text{Grothendieck} & & \curvearrowright \text{operations} \\ & & & \text{characteristic} & \simeq & \text{group of the} & & \\ & & & \text{cycles of } \mathbb{R}^n & & \text{category of} & & \\ & & & & & \text{constructible} & & \\ & & & & & \text{sheaves on } \mathbb{R}^n & & \end{array}$$

# Why constructible functions ?

1. Theoretically rich

pers. mod. on  $\mathbb{R}^n$

2. Useful in applied topology

(i) Persistence  $M = \bigoplus_{j \in \mathbb{Z}} M_j \mapsto \varphi_M : x \in \mathbb{R}^n \mapsto \sum_{j \in \mathbb{Z}} (-1)^j \dim M_j(x)$   
graded pers. mod. on  $\mathbb{R}^n$

invariant of pers. mod.





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**Ex. ( $n = 1$ )** For  $f : X \rightarrow \mathbb{R}$  continuous subanalytic,

$$\varphi_f : \begin{array}{l} \mathbb{R} \rightarrow \mathbb{Z} \\ t \mapsto \chi(\{x \in X ; f(x) \leq t\}) \end{array} \in \text{CF}(\mathbb{R})$$

Here  $M = \bigoplus_{j \in \mathbb{Z}} \text{PH}_j(X, f)$



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**Def. Euler characteristic**

$$\chi(K) = \sum_{j \in \mathbb{Z}} (-1)^j \dim H_j(K; \mathbb{Q})$$

$$\chi(K) = \sum_{j \in \mathbb{Z}} (-1)^j \#\{j\text{-simplices}\} \quad \text{if } K \text{ simp. cplx}$$

$$\chi(K) = 1 \quad \text{if } K \text{ compact convex}$$

compact subanalytic

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**Ex. ( $n = 1$ )** For  $f : X \rightarrow \mathbb{R}$  continuous subanalytic,

Euler curve

$$\varphi_f : \begin{cases} \mathbb{R} \rightarrow \mathbb{Z} \\ t \mapsto \chi(\{x \in X ; f(x) \leq t\}) \end{cases} \in \text{CF}(\mathbb{R})$$

- ▶ Faster to compute
- ▶ Generalizes to  $n \geq 1$  (multi-parameter persistence)

**Ex. ( $n = 2$ )** Euler surfaces [10]  $\rightarrow$  detection of diabetic retinopathy



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Too rough summary?

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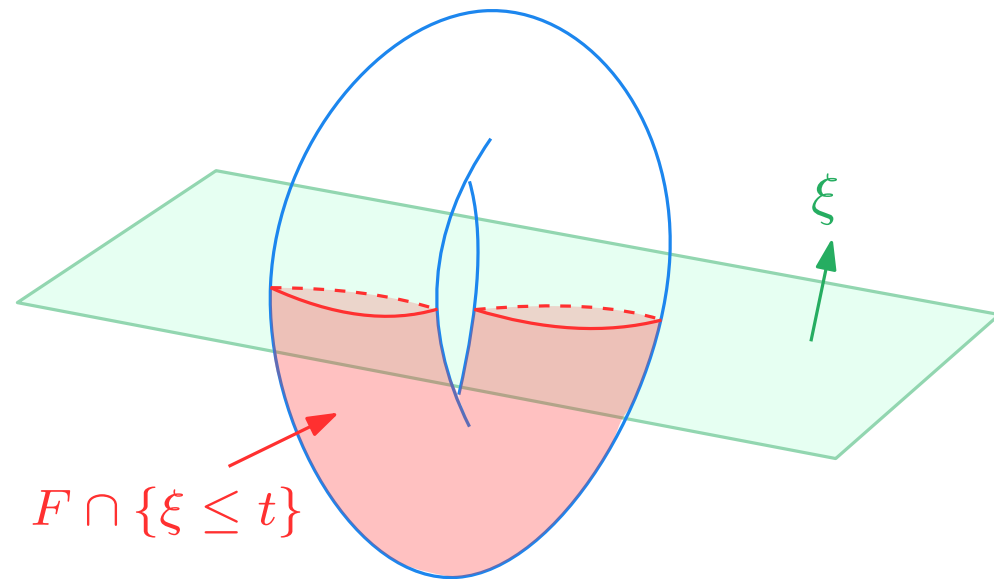
1. Theoretically rich
2. Useful in applied topology
  - (i) Persistence
  - (ii) Euler characteristic transform

[7] (Curry, Mukherjee, Turner 2018)

[11] (Turner, Mukherjee, Boyer 2014)

[12] (Ghrist, Levanger, Mai 2018)

shape  $F \subseteq \mathbb{R}^n$



**Que.** Can one recover  $F$  from Euler curves for all height functions ?

$$\varphi_\xi : t \mapsto \chi(F \cap \{\xi \leq t\})$$

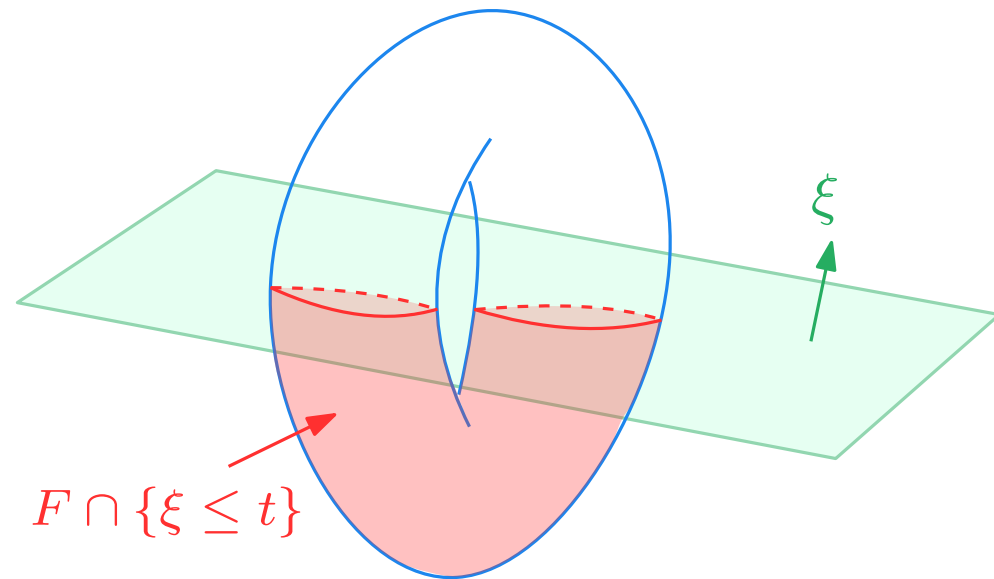


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$F \cap \{\xi \leq t\}$

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**Que.** Can one recover  $F$  from Euler curves for all height functions ?

**Thm.** The map  $\xi \mapsto \varphi_\xi$  fully determines  $F$ .

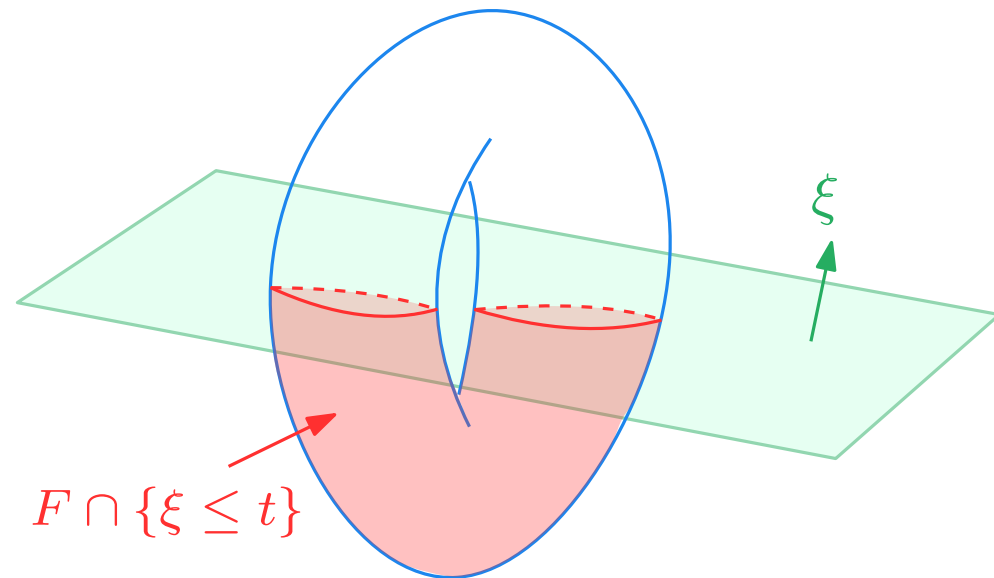
**Proof.** Inversion of the (constructible) Radon transform [4] (Schapira 1995)

$$\mathcal{R} : \text{CF}(\mathbb{R}^3) \rightarrow \text{CF}(\mathbb{P}_3^*)$$

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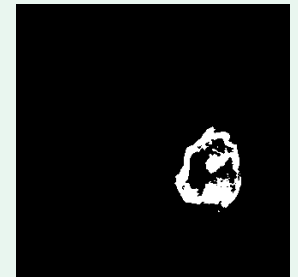


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**Ex. [1]**

Prediction of  
clinical outcomes  
in brain tumors



[1] Crawford, Monod, Chen, Mukherjee, Rabadán (2020)  
*Predicting Clinical Outcomes in Glioblastoma : An  
Application of Topological and Functional Data Analysis*,  
Journal of the American Statistical Association, 115 :531,  
1139-1150

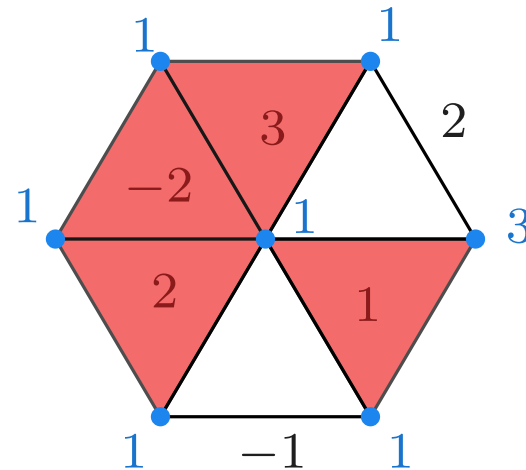
# Why constructible functions ?

1. Theoretically rich
2. Useful in applied topology
  - (i) Persistence
  - (ii) Euler characteristic transform
3. Ubiquitous in computer science (implementable by nature)

e.g. (weighted) simplicial complex

**Ex. [13]** Biomolecular data analysis

[13] Meng, Anand, Lu, Wu, Xia (2020) *Weighted persistent homology for biomolecular data analysis*. Scientific reports, 10(1), 1-15.

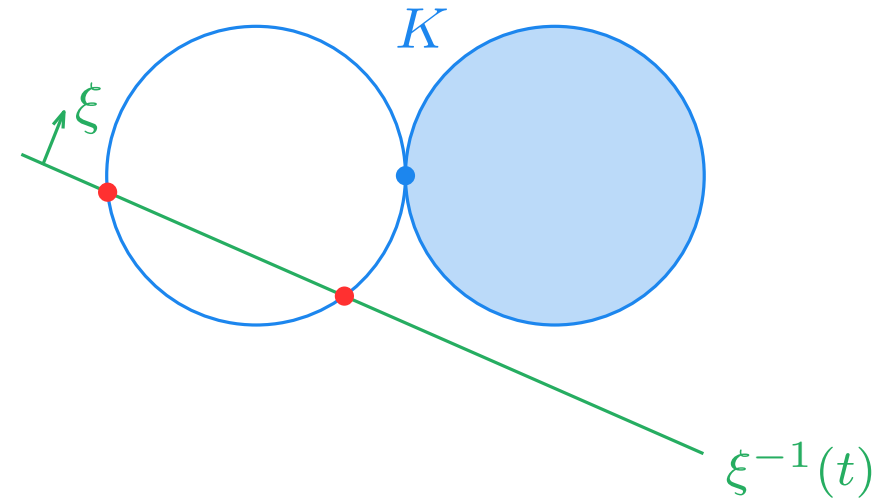




# An operation : the pushforward

Consider  $\xi : \mathbb{R}^n \rightarrow \mathbb{R}$  linear.

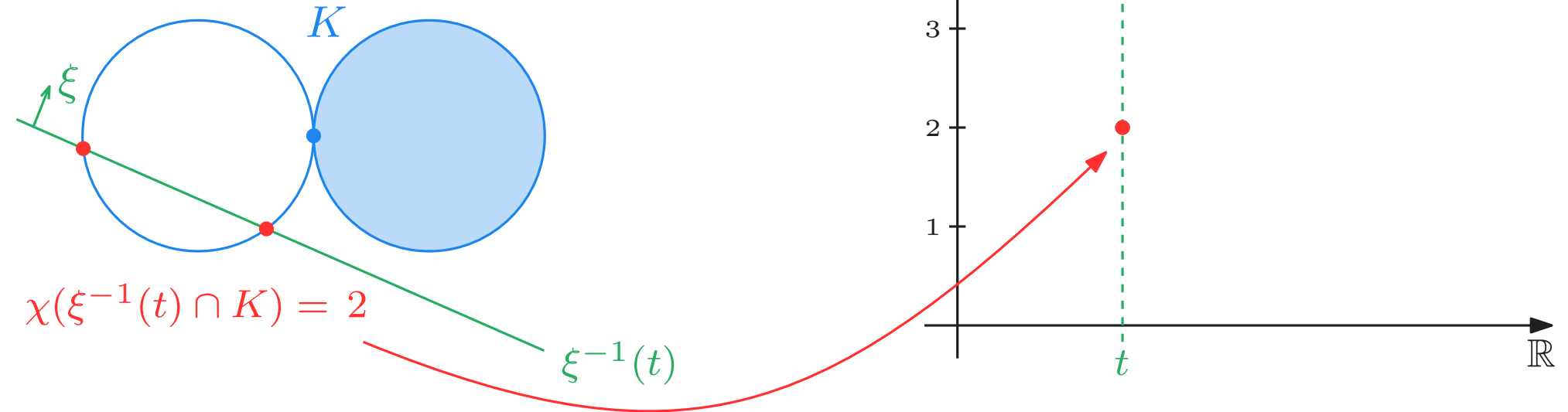
**Ex.**  $\varphi = \mathbf{1}_K \in CF(\mathbb{R}^2)$



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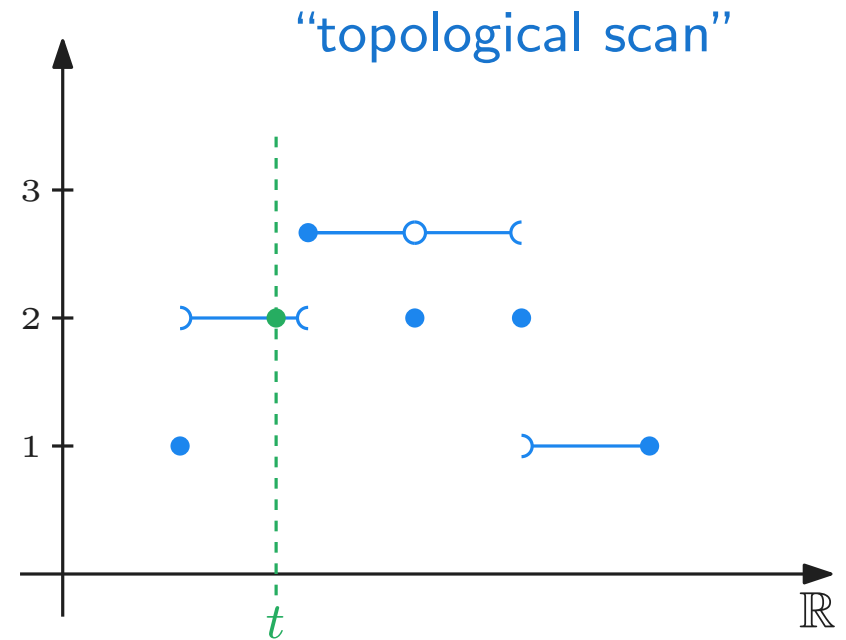
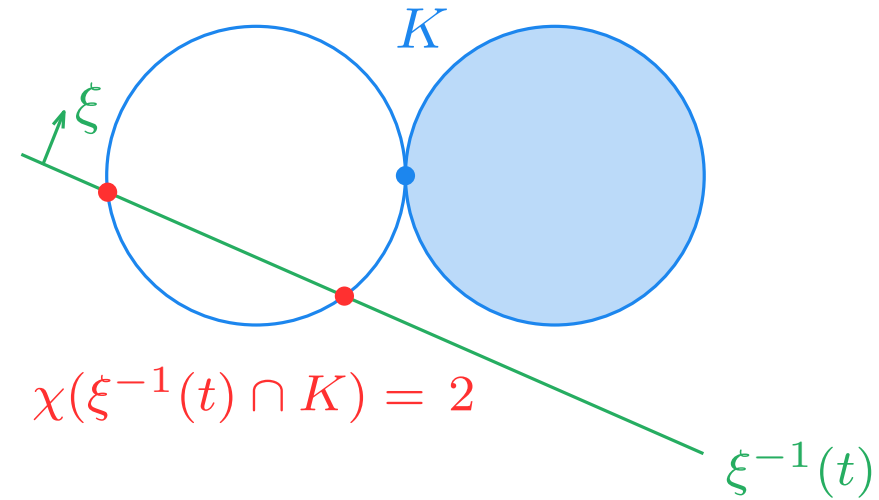
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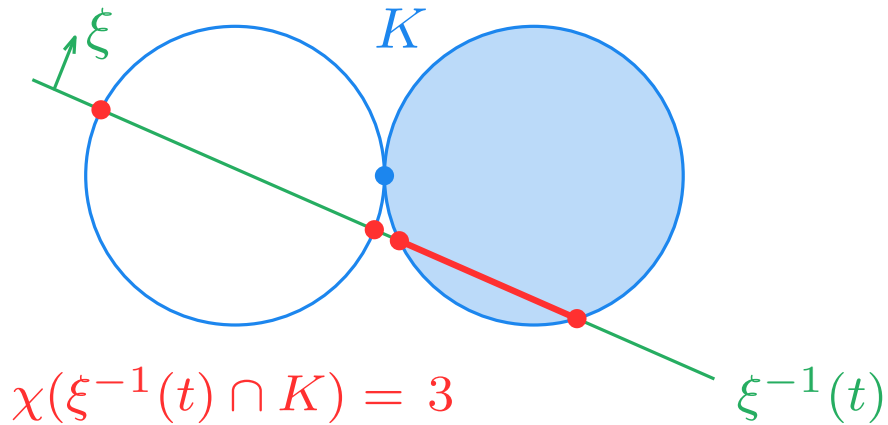
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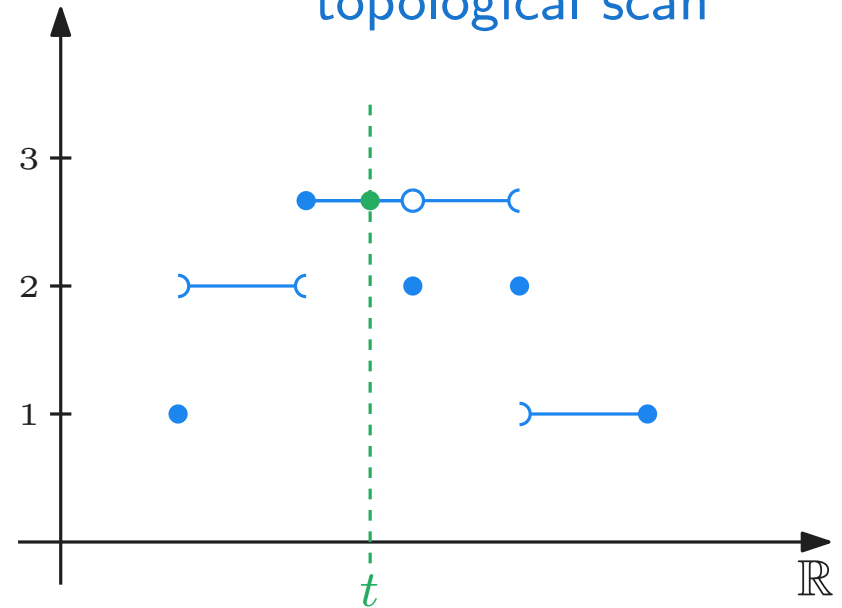
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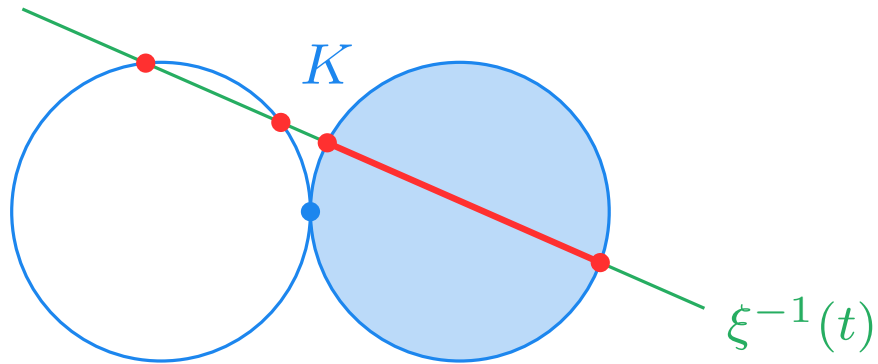
“topological scan”



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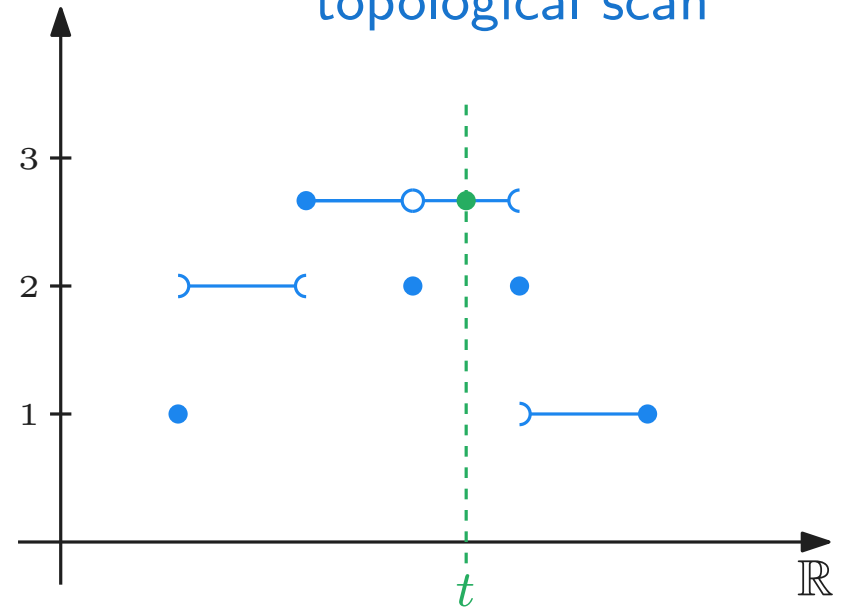
Consider  $\xi : \mathbb{R}^n \rightarrow \mathbb{R}$  linear.

Ex.  $\varphi = \mathbf{1}_K \in CF(\mathbb{R}^2)$



$$\chi(\xi^{-1}(t) \cap K) = 3$$

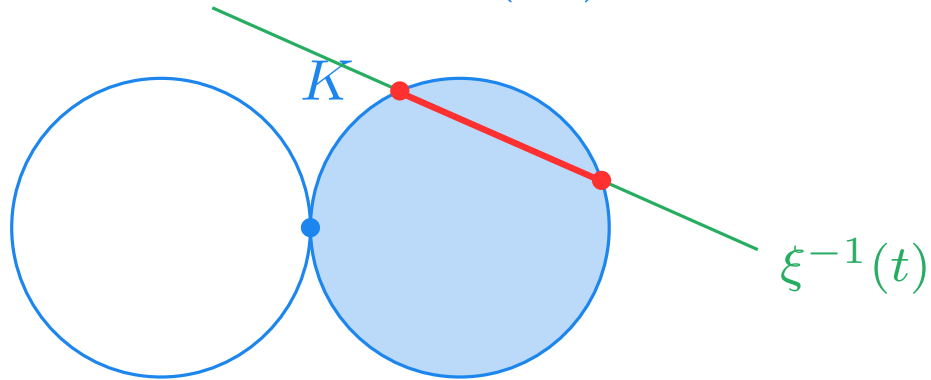
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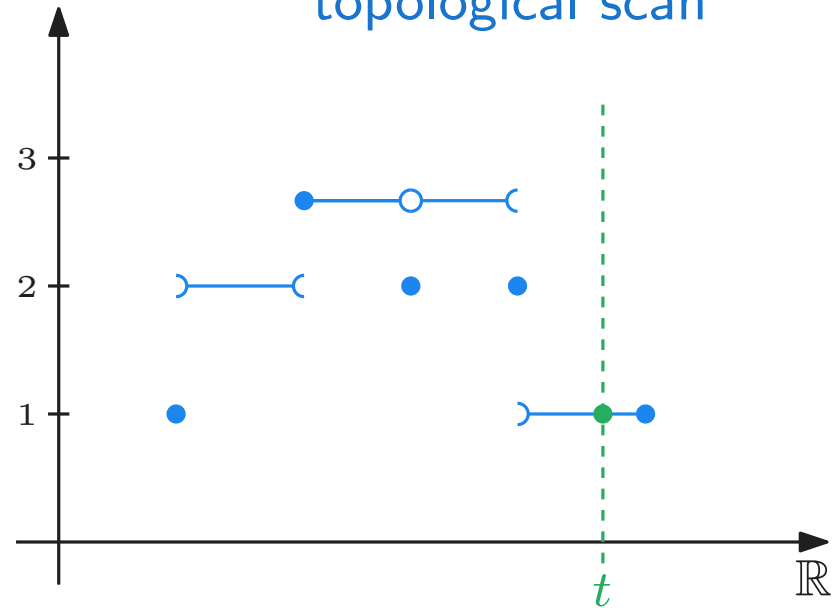
Consider  $\xi : \mathbb{R}^n \rightarrow \mathbb{R}$  linear.

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$$\chi(\xi^{-1}(t) \cap K) = 1$$

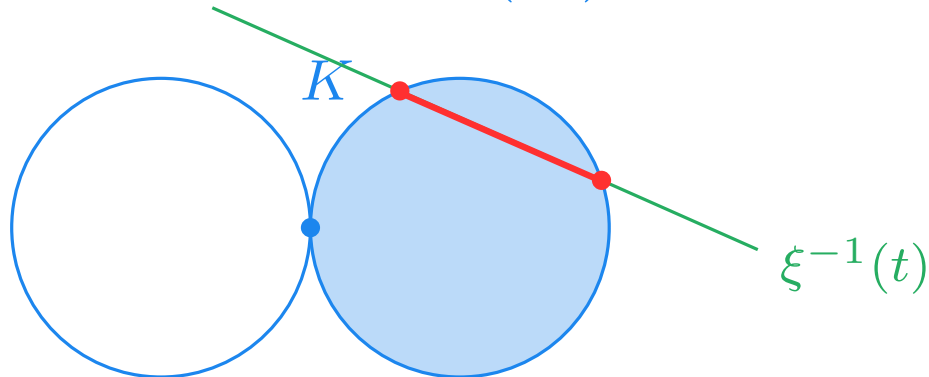
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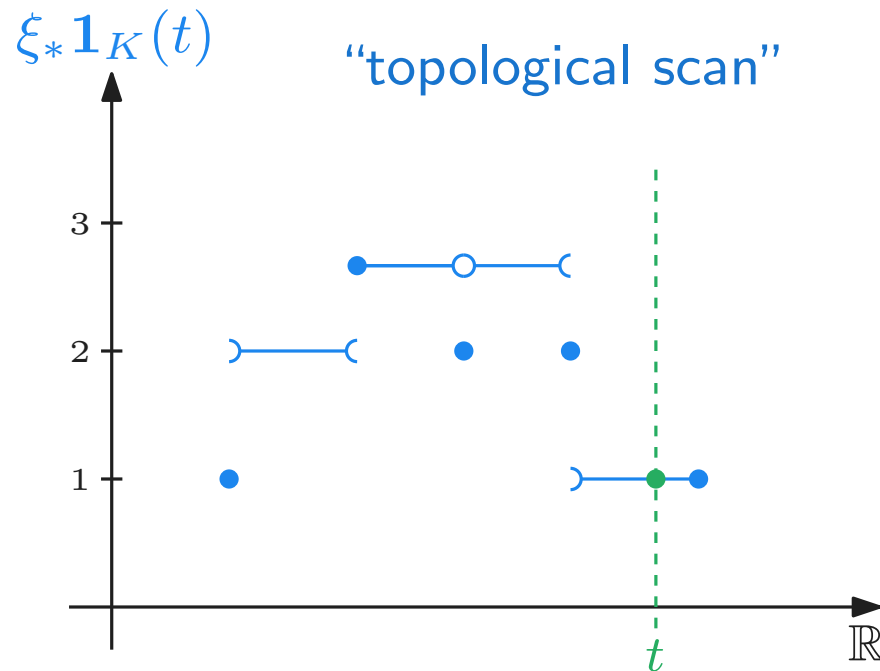
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$$\chi(\xi^{-1}(t) \cap K) = 1$$



**Def. (Pushforward)**  $K$  compact subanalytic

$$\xi_* \mathbf{1}_K : \begin{array}{l} \mathbb{R} \longrightarrow \mathbb{Z} \\ t \longmapsto \chi(\xi^{-1}(t) \cap K) \end{array} \in \text{CF}(\mathbb{R})$$

**(General case)**

For  $\varphi = \sum m_i \cdot \mathbf{1}_{K_i}$ ,

$$\xi_* \varphi := \sum m_i \cdot \xi_* \mathbf{1}_{K_i} \in \text{CF}(\mathbb{R})$$



**Rk.** Topological dimensionality reduction from  $n$  to 1

$$\xi_* : \text{CF}(\mathbb{R}^n) \rightarrow \text{CF}(\mathbb{R})$$

# Constructible world [6]



[6] Curry, Ghrist, Robinson (2012) *Euler calculus with applications to signals and sensing*. Proceedings of Symposia in Applied Mathematics. Vol. 70.



# Constructible world

## Pros

- Topological operations  
(pushforward, transforms)
- Implementable



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**Cons :** not the best world for stats



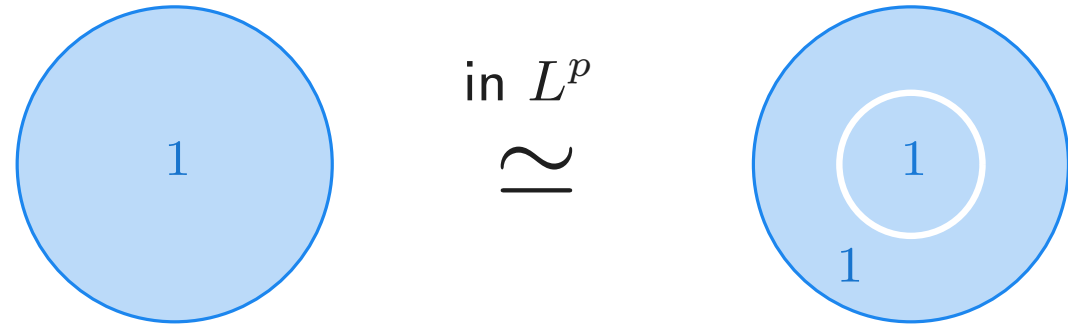
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- Topology not accessible with usual stats tools ( $L^p$  norms, ...)



# Constructible world

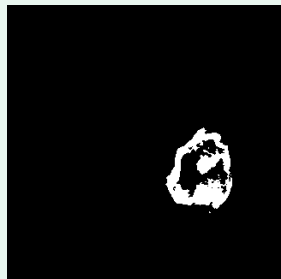
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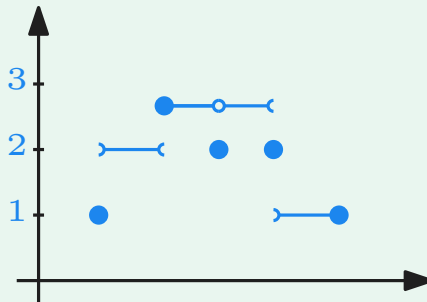
## Cons : not the best world for stats

- Topology not accessible with usual stats tools ( $L^p$  norms, ...)
- Topological scans do not give access to full scope of functional stats

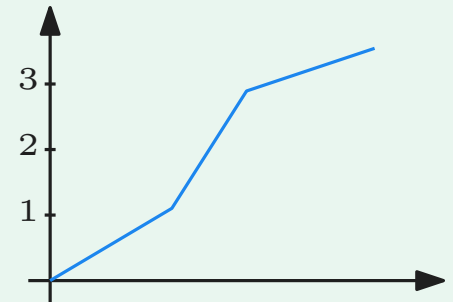
Ex. [1] Prediction of clinical outcomes in brain tumors



MRI



Euler curves



smoothed Euler curves



# Constructible world

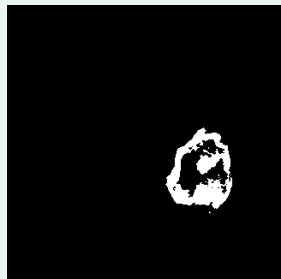
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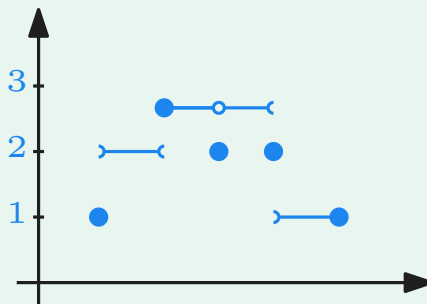
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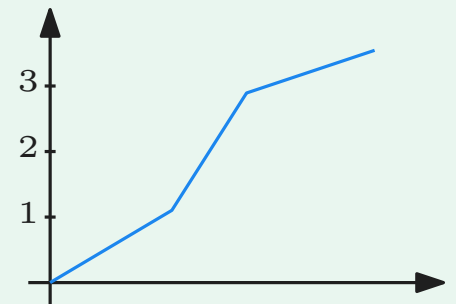
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MRI



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smoothed Euler curves

Idea. Get out of the constructible world.



**Hybrid transforms**

$$CF(\mathbb{R}^n) \longrightarrow L^2(\mathbb{R}^n) \quad (\text{Hilbert space})$$



# Getting out of the constructible world

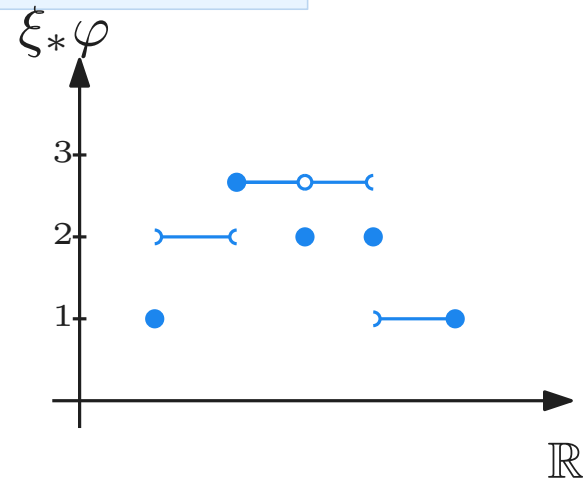
**Def. (Hybrid transform)**  $\kappa : \mathbb{R} \rightarrow \mathbb{C}$  in  $L^1_{\text{loc}}$  and  $\varphi \in \text{CF}(\mathbb{R}^n)$

$$\begin{aligned} & \mathbb{R}^n \longrightarrow \mathbb{C} \\ \mathbf{T}_\kappa[\varphi] : & \quad \xi \longmapsto \int_{\mathbb{R}} \kappa(t) \xi_* \varphi(t) dt \end{aligned}$$

# Getting out of the constructible world

**Def. (Hybrid transform)**  $\kappa : \mathbb{R} \rightarrow \mathbb{C}$  in  $L^1_{loc}$  and  $\varphi \in CF(\mathbb{R}^n)$

$$\begin{array}{l}
 \mathbb{R}^n \longrightarrow \mathbb{C} \\
 \text{integration against kernel} \\
 \mathbf{T}_\kappa[\varphi] : \xi \longmapsto \int_{\mathbb{R}} \kappa(t) \xi_* \varphi(t) dt \\
 \text{topological dim. reduction}
 \end{array}$$



# Getting out of the constructible world

**Def. (Hybrid transform)**  $\kappa : \mathbb{R} \rightarrow \mathbb{C}$  in  $L^1_{loc}$  and  $\varphi \in CF(\mathbb{R}^n)$

$$T_\kappa[\varphi] : \mathbb{R}^n \longrightarrow \mathbb{C}$$

$$\xi \longmapsto \int_{\mathbb{R}} \kappa(t) \xi_* \varphi(t) dt$$

integration against kernel  
topological dim. reduction

First appear in [3]  
(without kernel  $\kappa$ )

**Ex. Euler-Fourier**

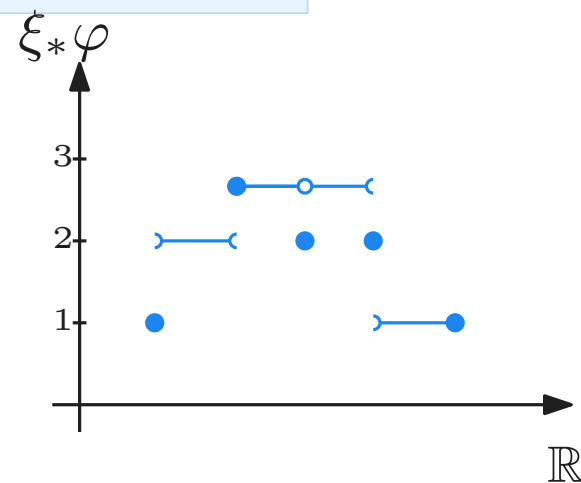
$$\mathcal{EF}[\varphi] : \mathbb{R}^n \longrightarrow \mathbb{C}$$

$$\xi \longmapsto \int_{\mathbb{R}} e^{-it} \xi_* \varphi(t) dt$$

**Ex. Euler-Laplace**

$$\mathcal{EL}[\varphi] : \mathbb{R}^n \longrightarrow \mathbb{R}$$

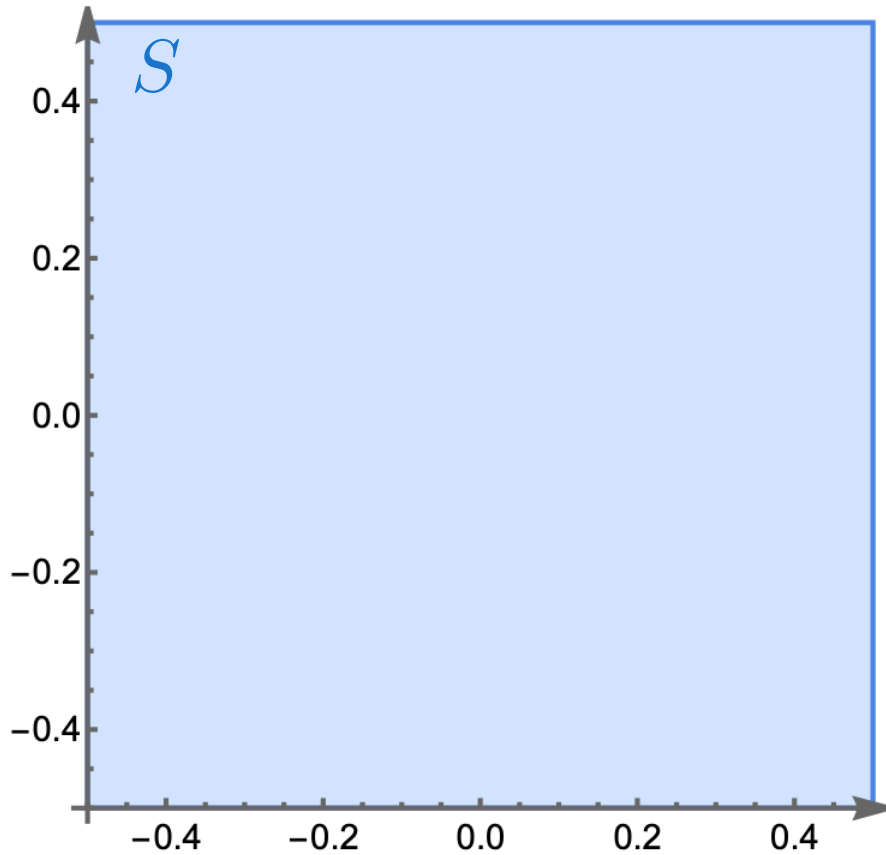
$$\xi \longmapsto \int_{\mathbb{R}} e^{-t} \xi_* \varphi(t) dt$$



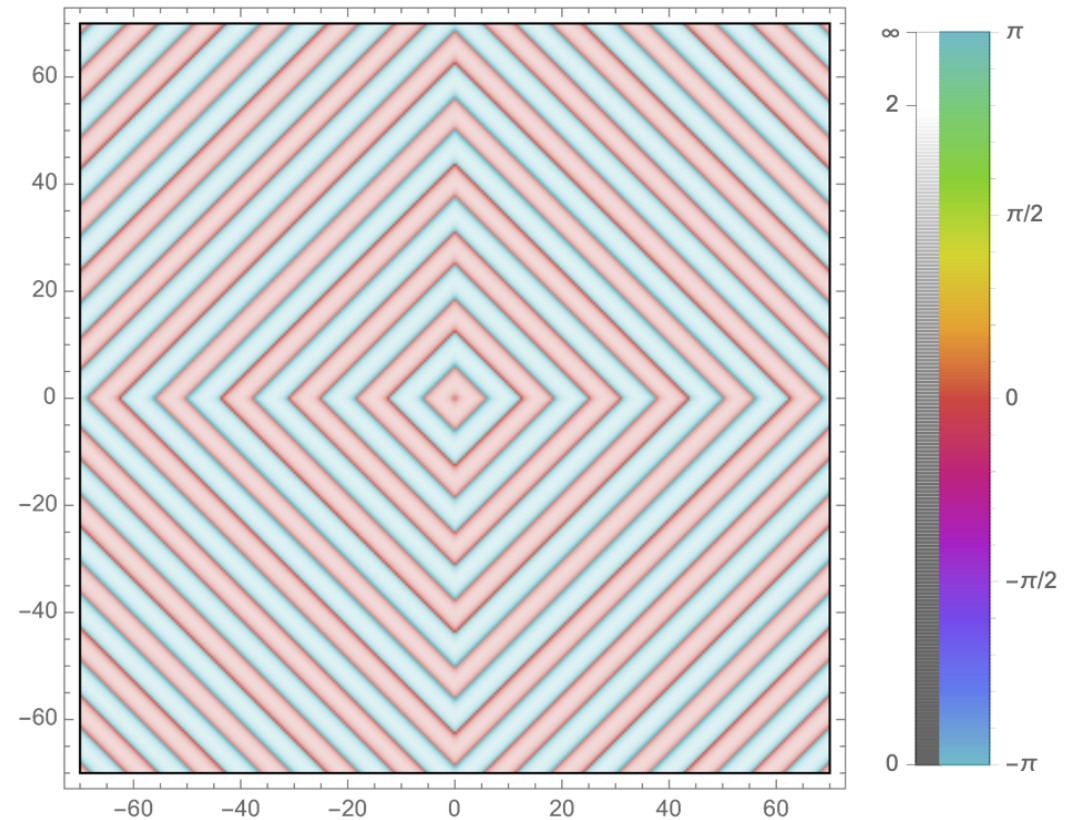
Multi-parameter  
persistent magnitude



# Toy example : square



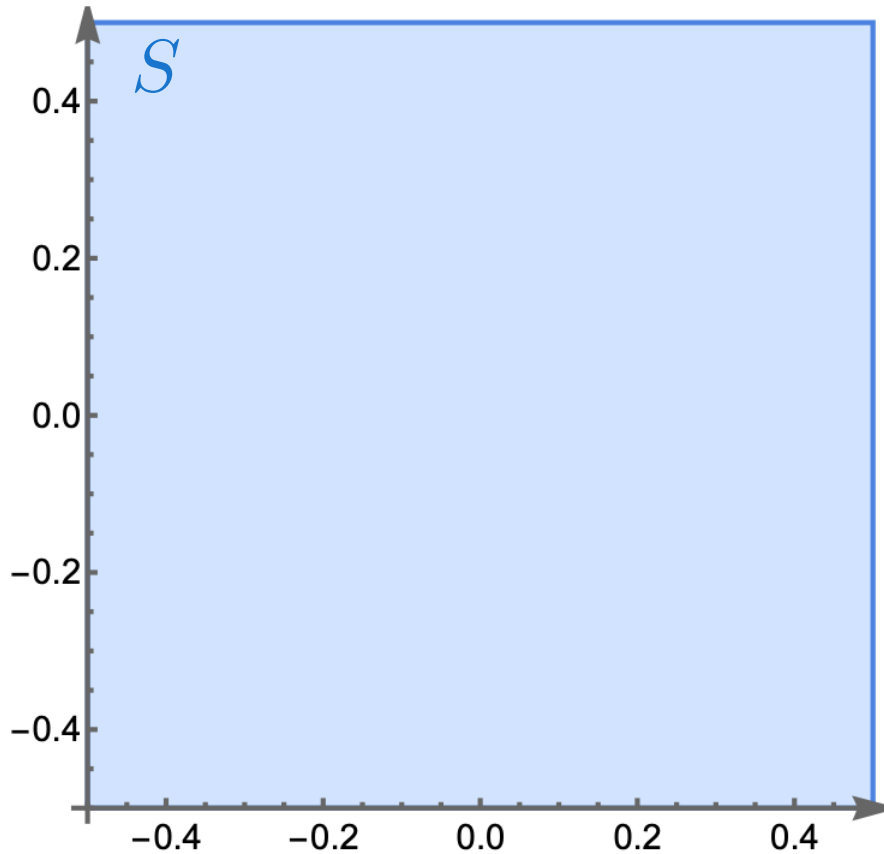
$$\mathbf{1}_S \in \text{CF}(\mathbb{R}^2)$$



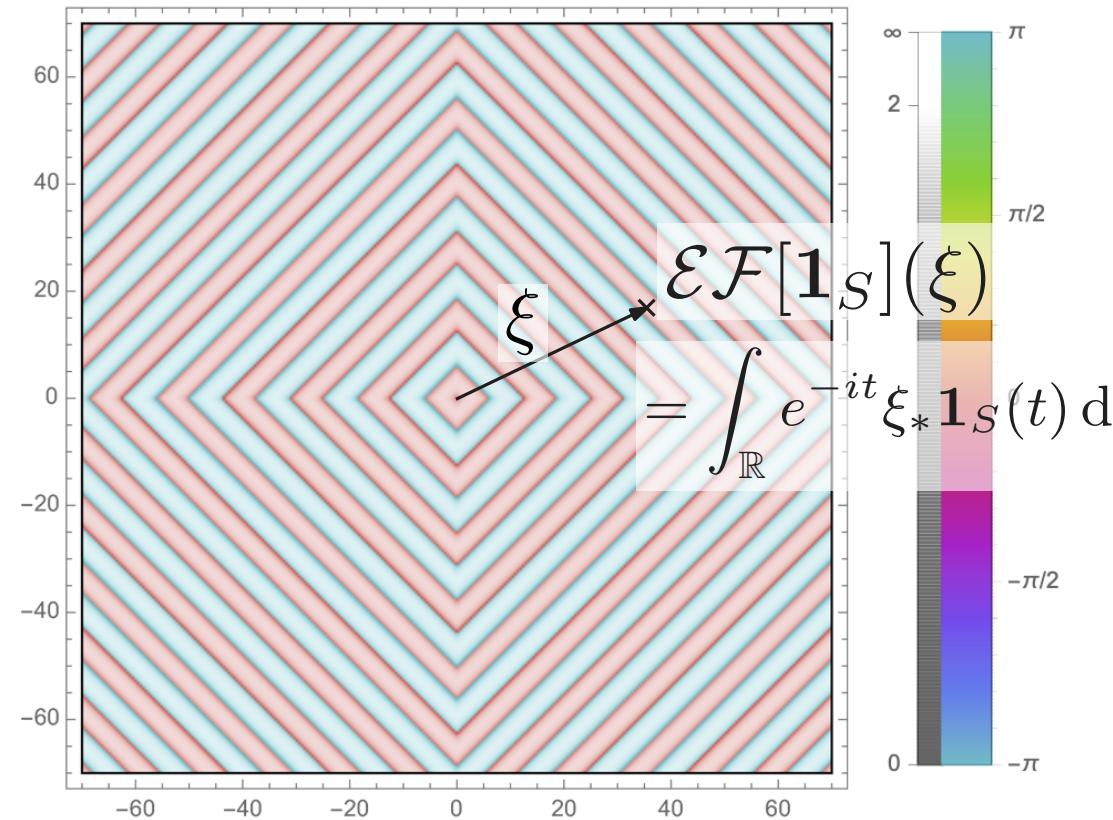
$$\mathcal{EF}[\mathbf{1}_S] : \mathbb{R}^2 \rightarrow \mathbb{C}$$



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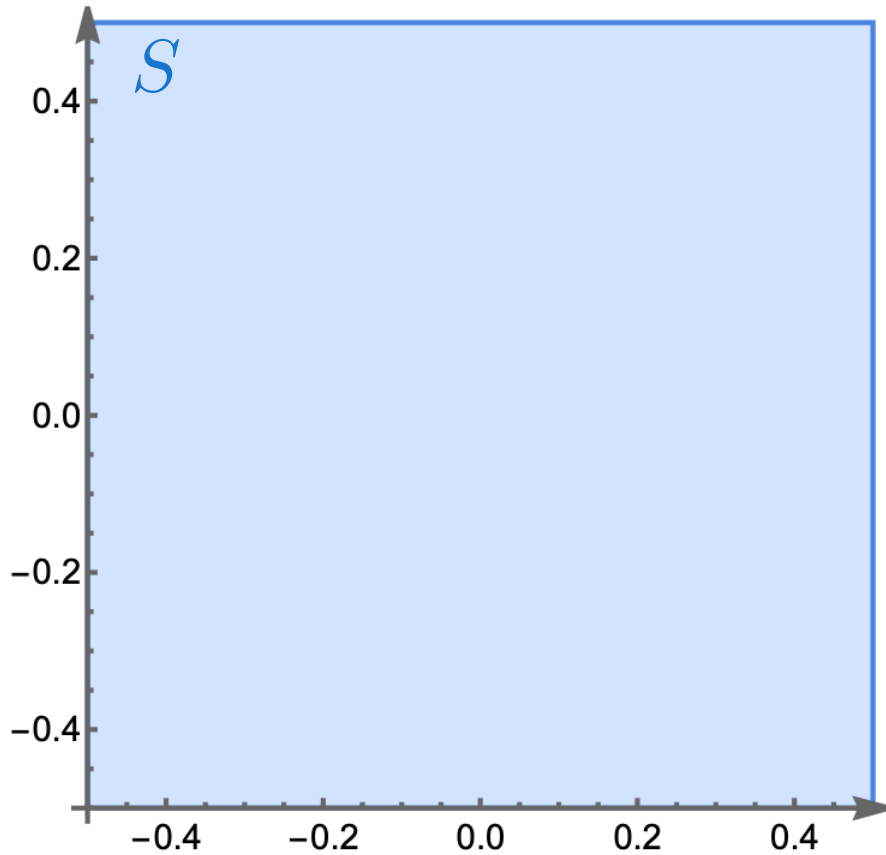
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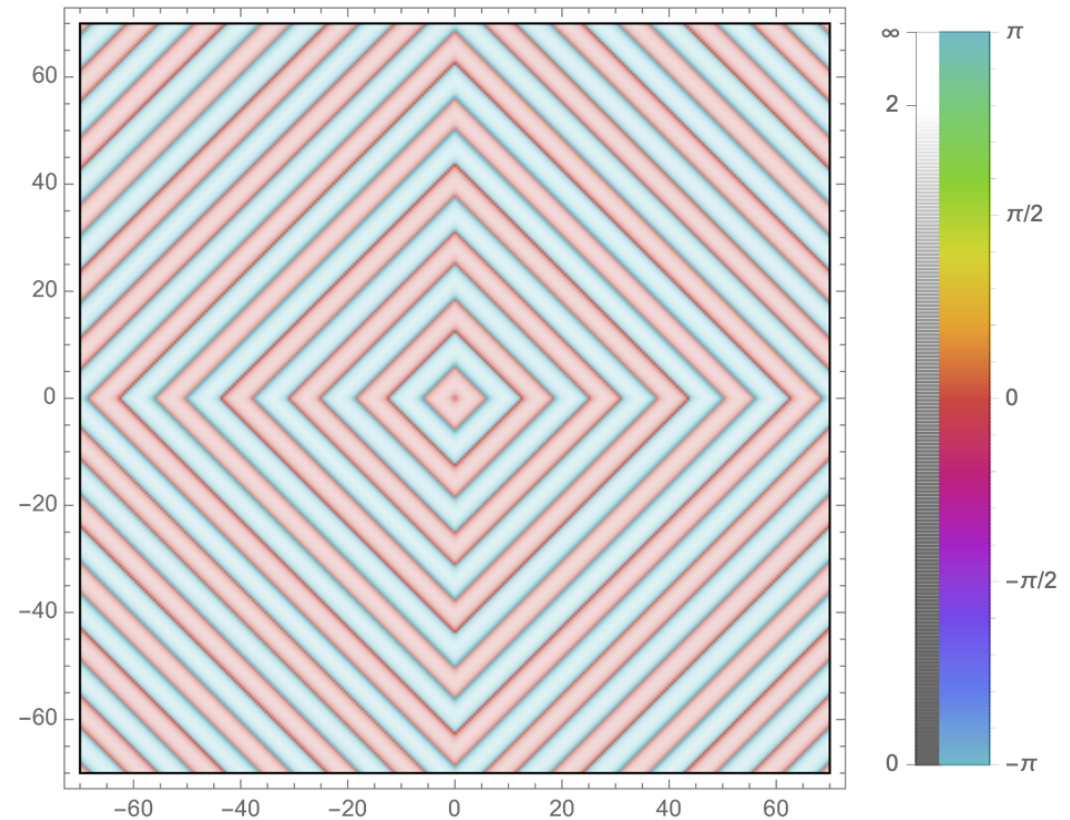
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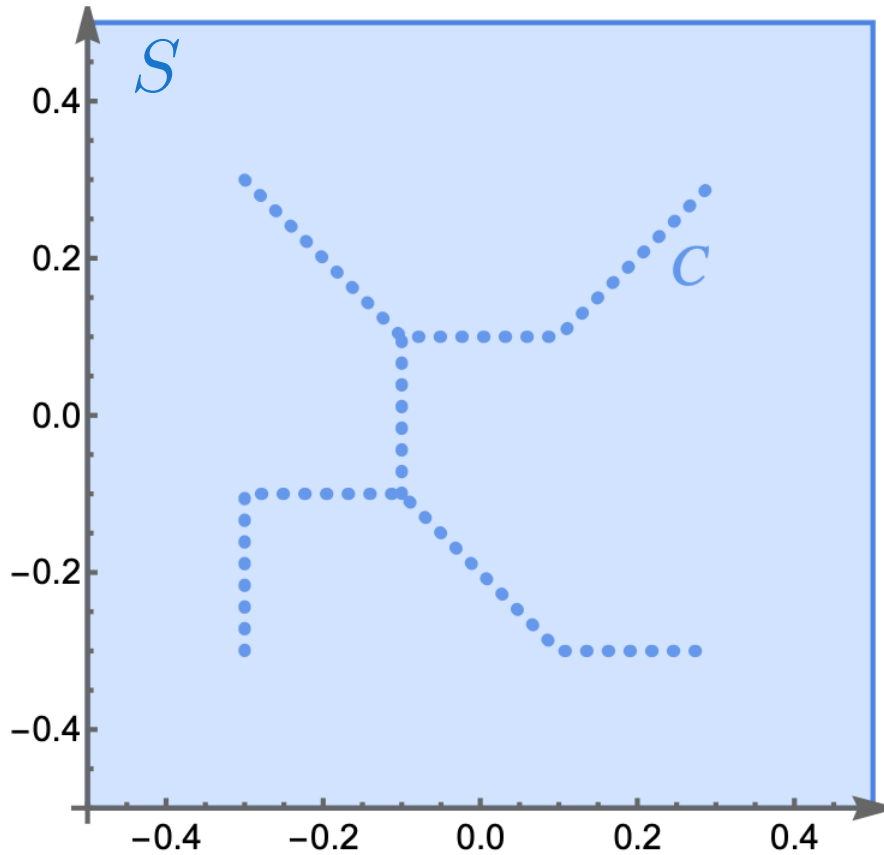
$$\mathbf{1}_S \in \text{CF}(\mathbb{R}^2)$$



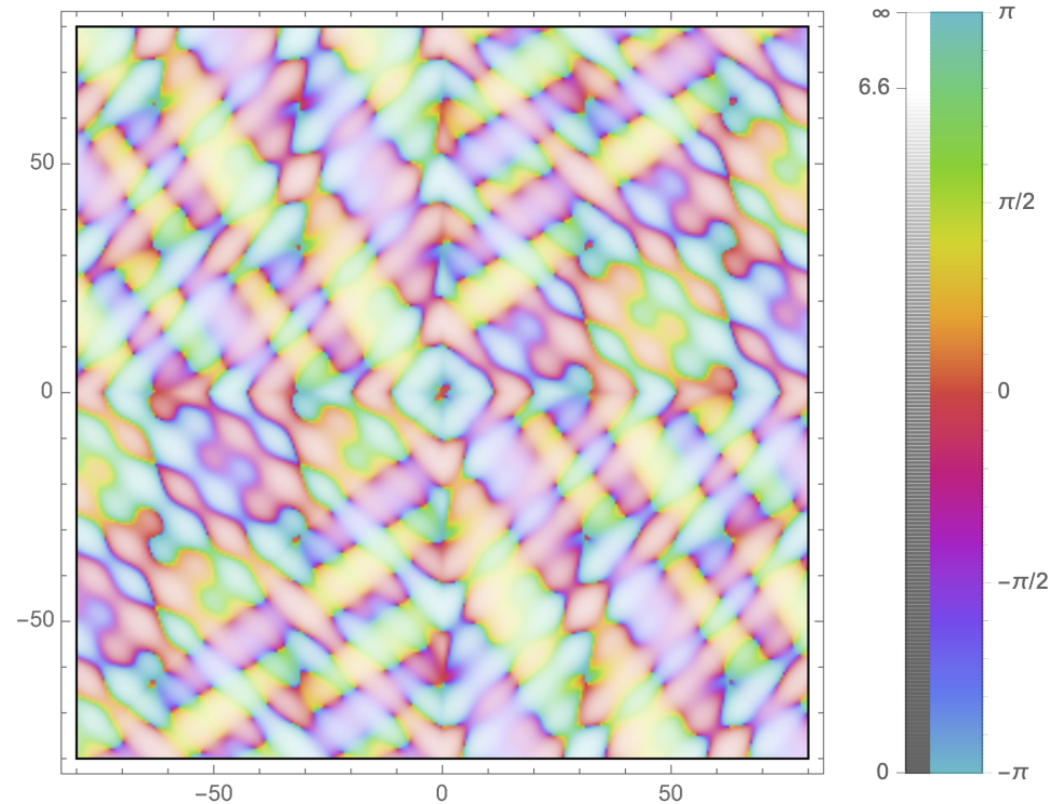
$$\mathcal{EF}[\mathbf{1}_S] : \mathbb{R}^2 \rightarrow \mathbb{C}$$



# Toy example : square minus a crack



$$\mathbf{1}_S - \mathbf{1}_C \in \text{CF}(\mathbb{R}^2)$$



$$\mathcal{E}\mathcal{F}[\mathbf{1}_S - \mathbf{1}_C] : \mathbb{R}^2 \rightarrow \mathbb{C}$$



# Persistent magnitude [14]

Graded pers. mod. over  $\mathbb{R}$

$$M = \bigoplus_{j \in \mathbb{Z}} M_j$$

$$M_j \simeq \bigoplus_k \mathbf{k}_{[a_k^j, b_k^j)}$$

(finitely presented)

Persistent magnitude function

$$\begin{aligned} &\longmapsto |M| : \mathbb{R}_{>0} \rightarrow \mathbb{R} \\ & \quad t \mapsto \sum_{j,k} (-1)^j \left( e^{-ta_k^j} - e^{-tb_k^j} \right) \end{aligned}$$



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## Main property : additivity

If  $0 \rightarrow M \rightarrow N \rightarrow P \rightarrow 0$  s.e.s., then  $|N| = |M| + |P|$ .

**Csq.**  $|\text{PH}(X, f)|(t) = \sum_{p \in \text{Crit}(f)} (-1)^{\mu(p)} e^{-tf(p)}$





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$$= \int_{\mathbb{R}} e^{-s} t_* \underbrace{\varphi_M(s)}_{\varphi_M(s/t)} ds$$

$$\varphi_M : t \mapsto \sum_{j \in \mathbb{Z}} (-1)^j \dim M_j(t) = \sum_{j,k} (-1)^j \mathbf{1}_{[a_k^j, b_k^j)}$$

Constructible function over  $\mathbb{R}$



# Persistent magnitude [14]

Graded pers. mod. over  $\mathbb{R}^n$

$$M = \bigoplus_{j \in \mathbb{Z}} M_j$$

constructible, compactly supported

Persistent magnitude function

$$|M| : \mathbb{R}_{>0}^n \rightarrow \mathbb{R} \quad \left| \begin{array}{l} \xi \mapsto \int_{\mathbb{R}} e^{-s} \xi_* \varphi_M(s) ds \\ = \mathcal{EL}[\varphi_M] \end{array} \right.$$

$$\varphi_M : x \mapsto \sum_{j \in \mathbb{Z}} (-1)^j \dim M_j(x)$$

Constructible function over  $\mathbb{R}^n$





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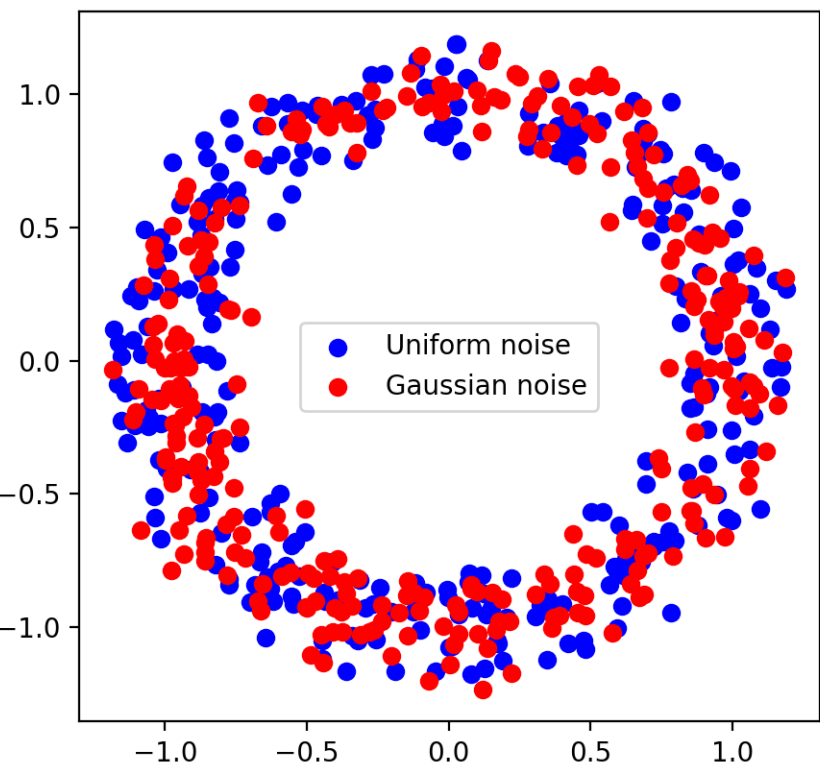
## Properties

- ▶ Additivity
- ▶ Formula with homological critical values for  $\text{PH}(X, f)$
- ▶ Compatibility with constructible operations (convolution, pushforward, ...)

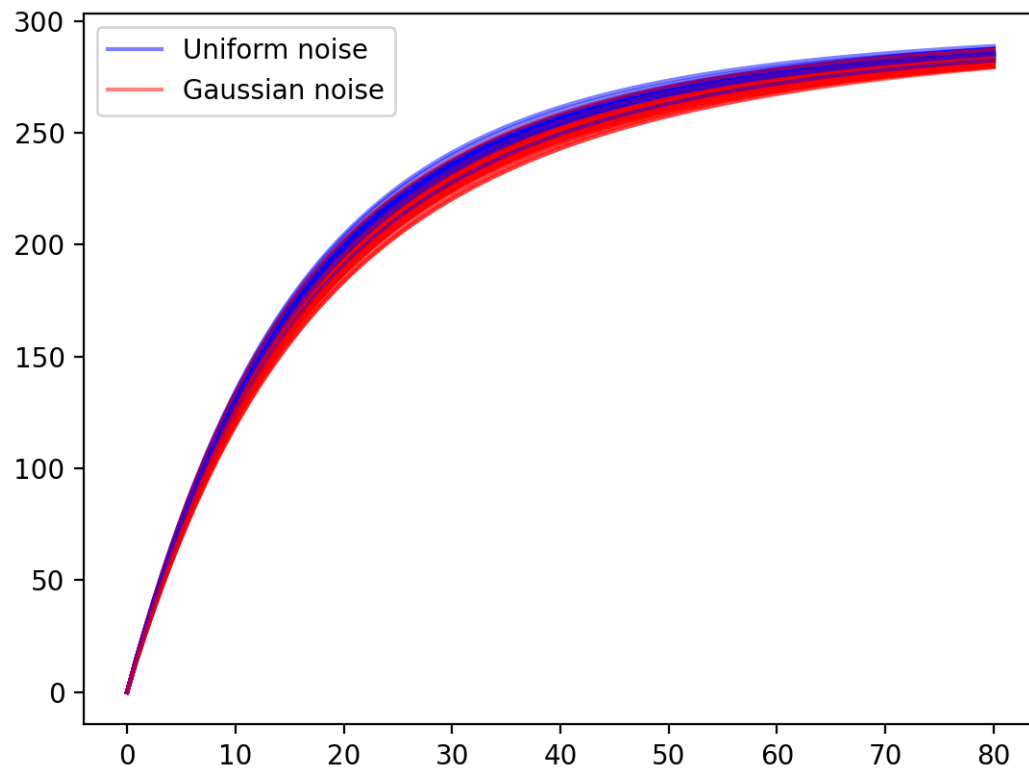


# Toy example : persistence (with O. Hacquard)

$$X_1 = \mathcal{U}(\mathbb{S}^1) + 0.2 \cdot \mathcal{U}([-1, 1]^2) \mapsto M_i = \bigoplus_{j \in \mathbb{Z}} H_j(\text{Rips}(X_i)) \mapsto \varphi_i : t \mapsto \chi(\text{Rips}_t(X_i))$$
$$X_2 = \mathcal{U}(\mathbb{S}^1) + \mathcal{N}(0, 0.1 \cdot \text{Id})$$



An example of point clouds

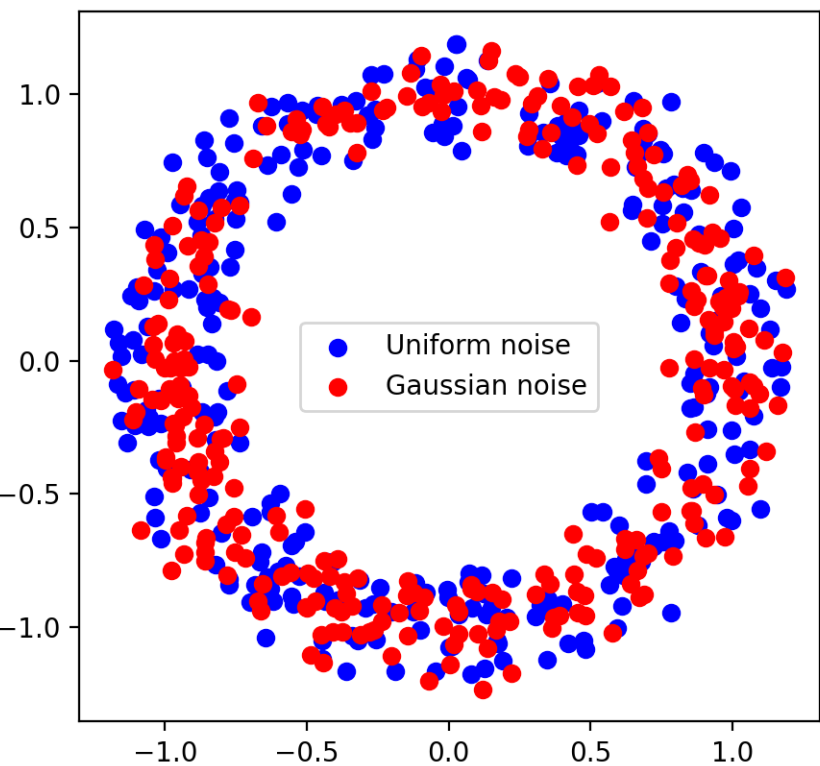


Persistent magnitude of 50 draws

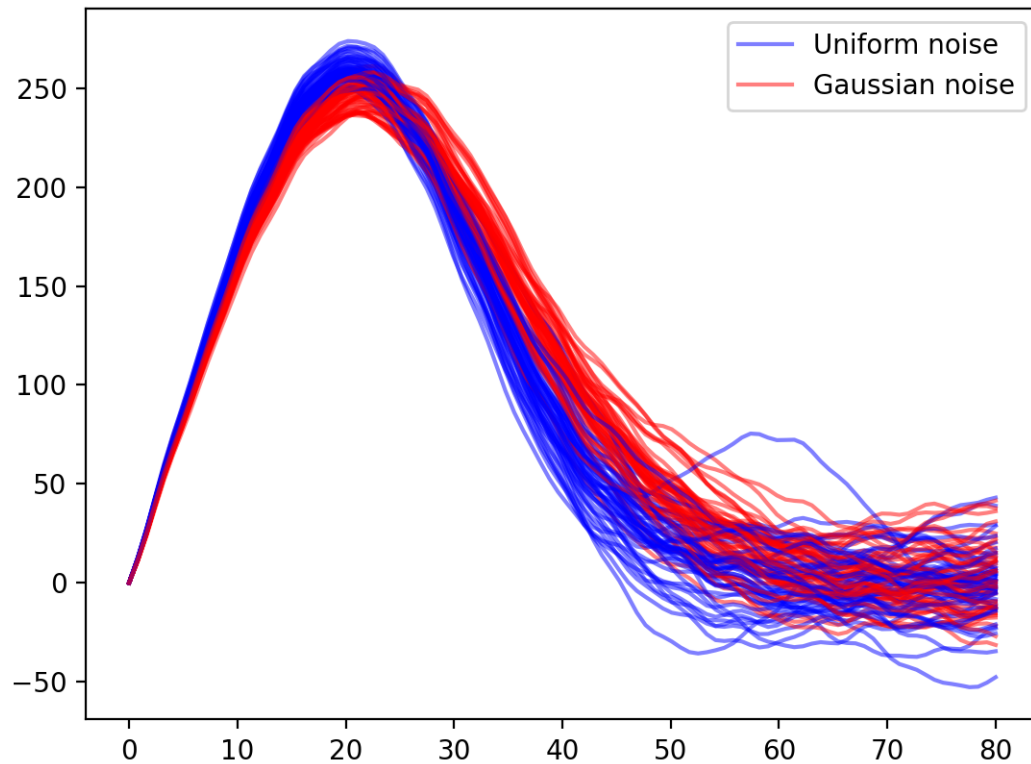
$$\mathcal{EL}[\varphi_i] : t \mapsto \int_{\mathbb{R}} e^{-s} \varphi_i(s/t) ds$$

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An example of point clouds



Hybrid Cosine transform of 50 draws

$$\mathbb{T}_{\text{COS}}[\varphi_i] : t \mapsto \int_{\mathbb{R}} \cos(s) \varphi_i(s/t) ds$$

# Properties

## 1. Regularity

$$\mathcal{EF} : \text{CF}_{\text{PL}}(\mathbb{R}^n) \longrightarrow C_{b,ps}^0(\mathbb{R}^n, \mathbb{C}) \left. \vphantom{\mathcal{EF}} \right\} \begin{array}{l} \text{continuous} \\ \text{piecewise smooth} \\ \text{bounded} \end{array}$$

## 2. Invariance

Translation  $x_0 \in \mathbb{R}^n$ , denote  $\tau_{x_0*}\varphi(x) := \varphi(x - x_0)$

$$\left| \forall \xi \in \mathbb{R}^n, \quad \mathcal{EF}[\tau_{x_0*}\varphi](\xi) = e^{-i\langle \xi; x_0 \rangle} \mathcal{EF}[\varphi](\xi) \right.$$

Linear transformation  $A \in GL_n(\mathbb{R})$ , denote  $A_*\varphi(x) := \varphi(A^{-1}x)$

$$\left| \forall \xi \in \mathbb{R}^n, \quad \mathcal{EF}[A_*\varphi](\xi) = \mathcal{EF}[\varphi]({}^tA \xi) \right.$$

## 3. Left inverse

One can recover  $\varphi$  from  $\mathcal{EF}[\varphi]$ . (under some assumptions  $\supset$  persistence)

many others for other operations (e.g. constructible convolution)...

# Summary

Hybrid transforms : Constructible world  $\longrightarrow$  Usual functional spaces

e.g.  $\mathcal{EF} : \text{CF}_{\text{PL}}(\mathbb{R}^n) \longrightarrow C_{b,ps}^0(\mathbb{R}^n, \mathbb{C})$

topological information

Invariant of multi-parameter persistent modules  $M \mapsto \varphi_M \mapsto T_\kappa[\varphi_M]$

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- ▶ Invariance (compatibility with operations)
- ▶ Computability

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## Future work

- ▶ Interpretability (with O. Hacquard)
- ▶ Stability

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Thank you !

# References

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